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## RESPONSE TIME MEASUREMENT FOR ADAPTIVE PLAYOUT ALGORITHMS

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5 FIELD OF THE INVENTION

15 The present invention relates to packet-switched networks used for real-time multimedia communications, and in particular to a system and a method for measuring the 10 response time for adaptive playout algorithms.

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25 BACKGROUND OF THE INVENTION

30 Packet-switched networks are increasingly used for real-time 15 multimedia communications. Thus, there is a requirement that endpoints be able to recover from network impairments.

35 One of these impairments is called "jitter". Jitter can be 30 considered in a wide sense. Herein, jitter is the variation 20 in the duration between the time a frame is captured by a transmitter audio card and the time it is received by a 35 receiver. Therefore, it includes not only network jitter, i.e. variations in transmission delays, but also variations in processing delays.

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45 Jitter is a severe audio stream impairment. In order to be understandable, audio streams must not be interrupted, or at least be interrupted as less as possible. If frames were 30 played out as they arrive at the receiver, due to the jitter, 50 the playing would be constantly interrupted. Hence, arriving frames are not played out immediately, but kept in a so-called jitter buffer. A playout algorithm must then be

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5 implemented in the receiver in order to determine the playout time of the received frames.

10 In its simplest form, the algorithm buffers the first 5 received frame for a predetermined time before playing it. Therefore, instead of interrupting the audio stream, an initial delay is applied to the stream.

15 The problem with such a method, however, is to decide how 10 long this buffer delay should be. A large delay will minimize 20 the probability of an interruption but will cause a lack of interactivity between the end-users. Moreover, the packet delay distribution may be quite complex and variable over time. Thus, applying a fixed delay is satisfactory only in a 25 limited number of cases, e.g. in communications over a Local Area Network (LAN) with limited delay, but does not scale to more complex networks, particularly the Internet.

30 In order to overcome the above-mentioned problem, adaptive 20 algorithms have been introduced. Jitter adaptation is based 35 on silence compression/expansion, wherein silence is a conversational device. In a conversation, silence indicates a speaker's expectation that his interlocutor starts talking. Therefore, silence can be expanded or compressed without 40 impairing the understandability. An adaptation algorithm estimates the jitter from packet arrival times and then 45 modifies silence period lengths according to the latest estimate. For example, jitter adaptation algorithms based on this idea can be found in Sue B. Moon, Jim Kurose, Don 30 Towsley, "Packet audio playout delay adjustment: performance bounds and algorithms", Multimedia Systems, Springer Verlag 50 1998, pp. 17-28, and in Ramachandran Ramjee, Jim Kurose, Don

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5 Towsley, Henning Schulzrinne, "Adaptive Playout Mechanism for  
10 Packetized Audio Applications in Wide-Area Networks", in  
Proceedings of the conference on computer communications,  
(IEE Infocom, Toronto, Canada), pp. 680-688, IEE Computer  
15 Society Press, Los Alamitos, California, June 1994.

15 In the above-mentioned adaptive or adaptation algorithms, the  
received frames playout times are computed so as to achieve a  
good trade-off between buffering delay and residual drop  
10 rate, which will be described later.

20 However, this adaptation scheme is not sufficient because it  
trades-off a drop percentage against an added buffering  
25 delay. What should be traded-off is the drop against the  
15 response time which is defined as the time elapsed between  
the capture of a given frame of speech at one endpoint and  
its playout at an other endpoint plus the same quantity in  
30 the other direction. In accordance with the conventional  
adaptation scheme mentioned above, the added delay reflects  
20 only partially the response time.

35 It is therefore an object of the present invention to  
overcome the aforementioned adaptation algorithm limitations  
and to allow a terminal to trade-off the response time  
40 25 against the drop instead of the added buffering delay against  
the drop.

45 SUMMARY OF THE INVENTION

30 According to a first aspect of the present invention, this  
object is achieved by a system which comprises two endpoints  
50 30 communicating with each other by means of a packet-switched

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5 network. The endpoints use adaptation algorithms for  
estimating jitter from packet arrival times and for modifying  
silence period lengths according to the latest estimate.

10 5 According to the present invention, the endpoints are able to  
measure a response time  $\rho$  at a certain point of time and use  
it as a parameter in the adaptation algorithms.

15 According to a second aspect of the present invention, this  
object is achieved by a method for measuring a response time

10 10  $\rho$  between two endpoints in a packet-switched network system,  
which comprises the steps of sending a response time request  
packet from a first endpoint to a second endpoint at a time  
 $s_r$ , receiving the response time request packet at the second  
endpoint at a time  $r_r$ , sending a response time indication

25 15 packet from the second endpoint to the first endpoint at a  
time  $s_i$ , receiving the response time indication packet at the  
first endpoint at a time  $r_i$ , and computing the response time  
 $\rho$  on the basis of the sending and receiving times in the  
first endpoint.

20 30 35 According to the present invention, a significant improvement  
in complex networks, in particular in Internet telephony  
quality, can be achieved.

40 40 25 Further developments of the present invention are defined in  
the respective appended subclaims.

45 45 In the following, a preferred embodiment of the present  
invention is described by taking into account the  
30 accompanying drawings.

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5 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows two endpoints communicating with each other, and

10 5 Fig. 2 shows a flowchart of the procedure for measuring the  
response time according to the preferred embodiment of the  
present invention.

15 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

10 The present invention is to be used in conjunction with  
20 adaptive or adaptation algorithms. It can be applied to any  
jitter adaptation algorithm based on silence  
25 compression/extension. At first, in order that the present  
15 invention be more readily understood, a short description of  
these adaptation algorithms is given below.

30 In formalizing the algorithms,  $c$  is the capture time of a  
given frame for a transmitter and  $p$  is the playout time  
20 scheduled by a receiver for this frame. If  $T$  is the  
35 transmission time of the considered frame, the following  
property  $P1$  is obtained:

40 - if  $p > c+T$ , the frame is received before scheduled for  
25 playout and can thus effectively be played out; and  
45 - if  $p < c+T$ , the frame is dropped because it is not  
available in time.

30 When silence compression is used, a speech bit-stream is  
50 composed of active speech frames followed by silence frames.  
The received streams are conceptually fragmented in bursts

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5 wherein a burst starts at the first frame of an active speech  
10 period and ends at the last frame of the following silence  
15 period. Thus, the burst contains not only the talkspurt  
20 (active speech), but also the silence period until the next  
5 talkspurt.

15 All the (speech) frames belonging to the same burst are  
20 scheduled at an audio frame interval in order to avoid  
25 interruption during active speech, because such an  
30 interruption is very annoying for users. Thus, the playout  
35 time  $p_{i,j}$  for the  $i^{th}$  frame of the  $j^{th}$  burst can be written as:

$$p_{i,j} = p_{1,1} + (i-1)\delta,$$

25 wherein  $\delta$  is the audio frame interval.

30 For the very first received frame (i.e. of the first burst)  
35 an initial  $p_{1,1}$  is chosen (algorithm dependent).

40 20 When the next burst is received ( $j+1$ ), the receiver may  
45 choose:

45 - either to keep the synchronization with the previous burst  
50 and in that case:  $p_{1,j+1} = p_{1,j} + \delta$ , with I being the last frame  
55 of burst  $j$ ,

55 - or to adapt and use a new value  $p_{1,j+1}$ .

60 In the following it will be described how silence frame  
65 suppression and addition are used to adjust to the playout  
70 discontinuities resulting from adaptation.

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If the first frame of the burst  $j+1$  is scheduled after the last frame of burst  $j$ , i.e.  $p_{1,j+1} > p_{1,j}$ , then the receiver plays out silence frames between the two playout times. It is 10  
5 to be noted that, since silence may be added only as a multiple number of frames,  $p_{1,j+1}$  cannot be set to the value as computed but only to a closest possible value.

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Similarly, if the first frame of the burst  $j+1$  is scheduled 10 before the last frame of burst  $j$ , i.e.  $p_{1,j+1} < p_{1,j}$ , this 20 should reflect that the playout times have been previously overestimated. In that case, there should be some silence frames available in the playout buffer waiting for being 25 played out. Some of those frames are discarded so that the 15 playout be as close as possible to the computed value.

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From the above-given analysis, the adaptation algorithms exhibit the following property P2:

20 For certain adaptation points (usually the talkspurt start), 35 the playout can be expressed as  $p = r+B$ , with  $r$  being a frame reception time and  $B$  a buffer delay chosen by the respective algorithm. For other packets (frames), the playout is 40 synchronized with the previous packet playout, i.e. it is obtained by adding an integral number of audio frame 25 durations (audio frame intervals).

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It is to be noted that, referring to property P1, the higher 30 the value of  $B$ , the less the drop rate. The algorithms differ only in the choice of  $B$  and the decision of when to adapt.

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5 The present invention can be applied to any algorithm  
verifying the property P2.

10 The above-described jitter adaptation algorithms compute the  
5 received frames playout times in order to achieve a good  
trade-off between buffering delay and residual drop rate.

15 However, as already mentioned, the adaptation scheme used by  
the jitter adaptation algorithms is not sufficient, because  
10 it trades-off the drop percentage against the added buffering  
delay, as described in the foregoing. What should be traded-  
off is the drop against the response time. The added delay  
reflects only partially the response time. According to the  
20 present invention, a receiver is allowed to know the response  
time at a certain point of time and to use it as a parameter  
25 in its adaptation algorithm, which will be described in the  
following.

30 In Fig. 1, two endpoints 1 and 2, i.e. two end-terminals, are  
20 shown communicating with each other. Devices between the two  
end-users at the two endpoints 1 and 2, respectively, i.e.  
35 the endpoints 1 and 2 and a network (not shown), form a  
system according to the preferred embodiment of the present  
invention. The response time of the system at a given time  
40 instant is defined as the time elapsed between the capture of  
a given frame of speech at one endpoint and its playout at  
the other endpoint plus the same quantity in the other  
45 direction.

30 As an illustration, it is supposed that one person (one end-  
50 user) asks another a question such as "how much is 2+2?". If  
the two persons were talking face to face it would take a

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5 time T for the person to think of the result. If the two  
persons are now communicating through the system, it will now  
take a time  $T+p$  to get the answer, with  $p$  being the response  
10 time as defined above.

10 5 To be precise, the value that matters to the end-users is  
therefore the response time as defined above and not the  
15 added buffer delay. As a consequence, it is this value which  
has to be traded off against the drop rate.

10 10 It can be demonstrated that, if two endpoints use a playout  
20 algorithm which exhibits the property P2, the following  
property P3 also holds:

25 15 - As long as no adaptation is done on either side (i.e.  
packet playout synchronized with that of the previous  
packet), the value of the response time remains constant.

30 30 - Whenever one of the endpoints performs adaptation, this  
20 terminal can compute the increase or decrease of the response  
35 time due to the adaptation.

40 40 With respect to Fig. 1,  $c$  is the capture time and  $p$  is the  
scheduled playout time of a frame sent from the endpoint 1 to  
25 the endpoint 2 in what is arbitrarily called the forward  
direction. Similarly,  $c'$  and  $p'$  are the same quantities in  
the reverse direction, i.e.  $c'$  is the capture time and  $p'$  is  
45 the scheduled playout time of a frame sent from the endpoint  
2 to the endpoint 1 in the backward direction.

30 50 30 The response time as defined above is given as

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5  $\rho = (p-c) + (p'-c')$

10 In order to demonstrate the property P3 the response time is  
 15 calculated for two consecutive pairs of packets (frames) n  
 20 and n+1:

15  $\rho_n = (p_n-c_n) + (p'_n-c'_n)$

10  $\rho_{n+1} = (p_{n+1}-c_{n+1}) + (p'_{n+1}-c'_{n+1})$

20 If no adaptation is performed:

25  $p_{n+1} = p_n + \delta$  and  $c_{n+1} = c_n + \delta$ . Thus

15  $p_{n+1}-c_{n+1} = p_n-c_n$ , and similarly

30  $p'_{n+1}-c'_{n+1} = p'_n-c'_n$ .

35 Therefore, if no adaptation is performed:

20  $\rho_{n+1} = \rho_n$ .

40 It is now supposed that one of the endpoints chooses to  
 45 adapt, for instance, the receiver on the forward path. In  
 50 that case,  $p_{n+1} \neq p_n + \delta$ .

45 The resulting variation in response time is then:

45  $\Delta\rho_{n+1} = \rho_{n+1}-\rho_n = p_{n+1}-p_n-\delta$ .

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5 This quantity can be calculated by the endpoint performing  
the adaptation.

10 The important consequences of property P3 are:

15 5 - As long as no adaptation is performed, changes in the  
network conditions do not produce any change on the response  
time value. For instance, a sudden increase in transmission  
times does not incur any increase in the response time.

10 10 However, fewer frames may arrive before their scheduled  
20 playout time and thus the drop rate may be increased.

25 15 - Since endpoints know the response time variation caused by  
adaptation, if they could measure the response time before  
making adaptation, they could trade-off the response time  
against the drop rate.

30 30 For example, at a certain point, the receiver adaptation  
algorithm estimates that delaying the playout delay by a  
20 35 further 200 ms would considerably decrease the loss or drop  
rate. If it knew that the response time at that time instant  
is 50 ms, then it could derive that the resulting response  
time will be 250 ms if it performs adaptation. It may then  
consider this value small enough and actually perform the  
40 45 adaptation. On the other hand, if the response time is 800 ms  
before adaptation, it may consider that the resulting 1000 ms  
response time is too large and thus not adapt or adapt with a  
lower delay.

30 50 The present invention provides a system and a method for  
measuring the response time when the end-terminals use  
adaptation algorithms verifying the property P2, and

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5 therefore allows the terminal or endpoint to trade-off the response time against the drop instead of the added buffering delay against the drop.

10 5 In the following, the measurement procedure according to the preferred embodiment of the present invention is described with reference to Figs. 1 and 2.

15 10 On the basis of property P3, any pair of frames (one in each direction) can be used to calculate the response time at a certain time instant, since the last adaptations were made on each side. For the sake of simplicity, the frames are used for which the last adaptation was made in the forward and reverse directions.

20 15 10 The playout times  $p$  and  $p'$  at the endpoint 2 and the endpoint 1, respectively, for those frames are given as:

25 30  $p = r + D_p$  and

20 35  $p' = r' + D'_{p'}$ ,

30 40 35 with  $r$  and  $r'$  being the frame reception times of the endpoints 2 and 1, respectively, and  $D_p$  and  $D'_{p'}$  being the respective adaptation playout delays.

45 40 45 It is assumed that  $s$  and  $s'$  are the times the corresponding frames were sent in the forward and reverse (backward) directions, respectively:

50 30  $s = c + D_s$  and

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5         $s' = c' + D'_e,$ 

10        with  $c$  and  $c'$  being the respective capture times and  $D_e$  and  
5         $D'_e$  being the respective encoding delays (the encodings need  
not to be the same).

15        The response time  $\rho = (p-c)+(p'-c')$  can thus be expressed as:

10         $\rho = (r-s)+(r'-s')+(D_e+D_p+D'_e+D'_p), \text{ or}$ 20         $\rho = T+T'+(D_e+D_p+D'_e+D'_p),$ 

25        with  $T$  and  $T'$  being the respective frame transmission delays.

30        15 It is supposed that the terminal which sends packets along  
35        the forward path (the endpoint 1) wants to determine the  
40        response time. To that end, it sends a response time request  
45        packet (as a UDP packet in case RTP is used) to a port at the  
50        other endpoint 2 (S1 in Fig. 2) which was negotiated prior to  
55        the transmission of the associated stream. Information  
60        carried in the request packet will be described later on.

65        Upon receipt of the request packet (S2 in Fig. 2), the  
70        endpoint 2 transmits immediately a response time indication  
75        packet to a port at the endpoint 1 (S4 in Fig. 2) which was  
80        also negotiated in advance. Information carried in the  
85        indication packet will be described later on.

90        45 The request is sent at a time  $s_r$  from the endpoint 1 and is  
95        30 received at a time  $r_r$  by the endpoint 2. The indication is  
100        50 sent at a time  $s_i = r_r$  (or at least very close to) from the

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endpoint 2 and is received at a time  $r_i$  by the endpoint 1 (S5 in Fig. 2).

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The associated transmission times are:

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$T_r = r_r - s_r$ , and

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$T_i = r_i - s_i$ .

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10 The round-trip delay which can be measured by the endpoint 1 making the request is given as:

$T_r + T_i = r_i - s_r$ .

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15 In expressing the sum of the frame transmission delays  $T+T'$  by:

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$$T+T' = (T-T_r)+(T'-T_i)+(T_r+T_i) \\ = (r-r_r)+(s-s_r)+(r'-r_i)+(s'-s_i)+(T_r+T_i),$$

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the response time is given as:

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$$\rho = (r-r_r)+(s-s_r)-(r'-r_i)+(s'-s_i)+(T_r+T_i)+D_E+D_F+D'_E+D'_F.$$

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25 The idea to compute the response time is to see that some of the terms can be calculated by the endpoint 1 making the request and the remaining terms can be calculated by the endpoint 2 answering the request. The latter can therefore send the sum of the terms it knows in the indication packet.

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45 The endpoint 1 (terminal making the request) knows:

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5           -  $D_E$   
10           -  $D'_P$   
15           -  $T_r+T_i$   
20           -  $r'-r_i$ , since they are both measurable with the same clock.

25           s and  $s_r$  are also measurable using the request sender clock  
30           (the clock of the endpoint 1), but s is the sending time for  
35           which the receiver (the endpoint 2) performed adaptation. The  
40           sender, i.e. the endpoint 1, does not know a prior for which  
45           frame the receiver performed the latest adaptation. However,  
50           if the receiver indicates in the response time indication  
55           packet some information identifying that frame (for example  
60           its RTP timestamp in case RTP is used), the sender can lookup  
65           the corresponding sending time and make the computation  $s-s_r$ .  
70           This, however, does not mean that the sender must keep in  
75           memory all the sending times of the packets it sends, since  
80           packets are sent at regular intervals. In case RTP is used,  
85           the sender can infer the difference in frame sending times  
90           from the frame RTP timestamps.

95           The endpoint 2 (terminal answering the request) knows:

100           -  $D'_E$   
105           -  $D_P$   
110           -  $r-r_r$

115           In addition, if the endpoint 1 making the request sends in  
120           the request packet some information identifying the latest  
125           frame for which it performed adaptation, the endpoint 2 can  
130           also calculate  $s'-s$ , (S3 in Fig. 2) and can indicate this  
135           information in its indication packet.

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5 Therefore, in step S6, the response time can be computed in  
10 the endpoint 1.

15 It is to be noted that the response time value remains valid  
20 as long as none of the endpoints performs adaptation. If the  
25 requested endpoint chooses to adapt between the time it sends  
30 the response time indication and the time the other endpoint  
35 receives it, then the computed response time might be an  
40 outdated value. However, if the two endpoints agree on a  
45 maximum adaptation step per unit of time, nevertheless an  
50 upper bound on the response time can be derived.

55 A further point to be mentioned is that response time request  
60 or indication packets might get lost. However, if requests  
65 are made often enough, the response time value will be  
70 updated at the next opportunity.

75 In the following, an example of an application of the present  
80 invention is described.

85 It is supposed that an audio codec is used for which it is  
90 considered that 20% is the maximum acceptable drop rate. It  
95 is also supposed that experiments have been made to assess  
100 the trade-off between drop and response time. For example, it  
105 has been determined that a one-second response time and a 5%  
110 drop is better than a two-second response time which would  
115 lower the drop to 2%.

120 The endpoints have agreed that they are not allowed to  
125 increase the response time by more than 100 ms every 10  
130 seconds, and send a response time request every 5 seconds.

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5 To the first frame they receive, the endpoints apply an initial buffer delay of for example 50 ms, and for the following talkspurts the following holds:

10 5 - If the drop rate is more than 20%, the buffer delay is increased to get 20%, no matter what value the measured response time has.

15 10 - If the drop rate is less than 20%, the measured response time is traded-off (using an upper bound on the last measurement) against the residual drop rate.

20 25 According to the present invention, endpoints using any adaptation algorithm satisfying the property P2 are able to 15 measure the response time. In particular, the two endpoints need not use the same algorithm.

30 35 It is noted that an implementation of the present invention requires the definition of a complete protocol which 20 specifies the format of the response time request and indication packet (particularly the time format). The present invention is in no way limited to a particular protocol or implementation.

40 45 25 Thus, the present invention produces a significant improvement, for example, in Internet telephony quality.

50 45 While the invention has been described with reference to a preferred embodiment and an application example, the 30 description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and applications may occur to those skilled in the art

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5 without departing from the true spirit and scope of the  
invention as defined by the appended claims.

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